



# CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for continuing applications under 37 CFR 1.53(b))*Attorney Docket No.  
614.1897-C/AMC

First Named Inventor: Takeshi KUBO, et al.

Title: AUTHENTICATION APPARATUS, USER AUTHENTICATION  
METHOD, USER AUTHENTICATION CARD AND STORAGE

Express Mail Label No.

**APPLICATION ELEMENTS***See MPEP chapter 600 concerning utility patent  
application contents.*ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 88 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 31 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 2 ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d)) (see Box 18)
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Applicant claims small entity status.
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Applicant Data Sheet. See 37 C.F.R. 1.76.
9. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
10. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii ☐ paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

11. ☐ Assignment Papers (cover sheet & document(s))
12. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/084,323.
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
16. ☒ Preliminary Amendment
  - a. ☒ enclosed herewith.
  - b. ☒ incorporated herein (see Box 18).
17. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
18. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
19. ☐ Other:

**20. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:[X] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No: 09/084,323Prior application information: Examiner: Jeffrey S. LeaningGroup/Art Unit: 2131

\* \* \*

**Preliminary Amendment:**[X] Cancel in this application original claims 8, 9, 11, 24, 25, 27, 44, 45, 47, 53-64, 74, and 90-92 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

[X] Amend the specification by inserting before the first line the sentence:

--This application is a Continuation of application number 09/084,323, filed May 26, 1998, now pending--**21. NEW CORRESPONDENCE ADDRESS \* CUSTOMER NO. 21,171**

21171

PATENT TRADEMARK OFFICE

**22. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Alicia M. Choi	REGISTRATION NO.	46,621
SIGNATURE	<i>Alicia Choi</i>	DATE	<i>April 16, 2001</i>